



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/624,356

: Confirmation No.:

7682

**Applicant** 

Aaron Scott Lukas, et al.

Filed

July 21, 2003

For

Non-Thermal Process For Forming Porous Low Dielectric Constant Films

Art Unit

1762

Examiner

Padgett, Marianne L.

Docket No.

06336P USA

Customer No.

23543

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The information disclosure statement transmitted herewith is being filed after the period specified in § 1.97(b) but before the mailing date any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Accompanying this transmittal is

a statement as specified in 35 C.F.R. 1.97(e)

the fee set forth in 37 C.F.R. 1.17(p).

Respectfully submitted.

Attorney for Applicant(s)

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THE UNITED STATES PATENT AND TRADEMARK OFFICE 10/624,356 : Confirmation No.: 7682 **Applicant** Aaron Scott Lukas, et al. July 21, 2003 Filed For Non-Thermal Process For Forming Porous Low Dielectric **Constant Films** Art Unit 1762 Padgett, Marianne L. Examiner 06336P USA Docket No. Customer No. 23543 CERTIFICATE OF MAILING I CERTIFY THAT THIS PAPER (ALONG WITH ANY PAPER REFERRED TO AS BEING ATTACHED OR ENCLOSED) IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN **ENVELOPE ADDRESSED TO:** COMMISSIONER FOR PATENTS P.O. BOX 1450 Commissioner for Patents ALEXANDRIA, VA 22313-1450 P.O. Box 1450 /Date Alexandria, VA 22313-1450 <u>loseph D. Rossi</u> (Type or print\_name of person mailing paper) Sir: STATEMENT FOR INFORMATION DISCLOSURE STATEMENT **UNDER 37 C.F.R. 1.97(e)** This statement is being made for the Information Disclosure statement M accompanying this statement. or filed on I, the person(s) signing below, state: that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR 1.97(e)(1). that no item of information contained in the information disclosure

statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any

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person making this statement is the attorney/agent who signs below on the information:
supplied by the inventor(s). supplied by an individual designated in § 1.56(c). in the attorney's file.
Respectfully submitted,

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PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Paperwork Re Substitut BADE Complete if Known **Application Number** 10/624,356 Filing Date July 21, 2003 First Named Inventor Aaron Scott Lukas, et al.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Art Unit 1762 **Examiner Name** Padgett, Marianne L. of Sheet 2 Attorney Docket Number 06336P USA 1

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U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (F known)</sup>		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-	6,472,076 B1	10-29-2002	Hacker	
		US-	2001/038919	11-08-2001	Berry, et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (*			Relevant Figures Appear	T⁵
		EP 1 108 763	06-20-2001	Dow Corning Corp.		✓
		EP 1 197 998	04-17-2002	Shipley Company LLC		✓
		WO 02/11204	02-07-2002	Int'l Business Machines		✓
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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Substitute 1949/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/624,356	
			Filing Date	July 21, 2003	
			First Named Inventor	Aaron Scott Lukas, et al.	
			Art Unit	1762	
		Examiner Name	Padgett, Marianne L.		
Sheet	2	2	Attorney Docket Number	06336P USA	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		HOZUMI, A., et al., "Photocalcination of Mesoporous Silica Films Using Vacuum Ultraviolet Light", Advanced Materials, Wiley VCH, Weinheim, DE, Vol. 12, No. 13, 5 July 2000, Pages 985-987	
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Examiner	Da	ite	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformation with MPEP 609. Draw line through citation if not in conformance and not

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